Decentralized Fellowship Allocation Programs – Graduate Student Support
2017-18 Workshop Series

October 18 and 26, 2017
Today’s agenda

• Introductions
• GPFA
• DGSA
• Supplemental NRST
• Masters NRST
• Miscellaneous Fellowships
• Questions?
2017-18 Workshops

1. **Internal Fellowship Competition Process** and **Offer Letter Best Practices** – Oct 9th and Oct 12th

2. **Accounting Applications & Systems** and **Fellowship Allocation Programs** and **Miscellaneous Fellowship Programs** – Oct 18th (203 Mrak, 3 to 5) and Oct 26th (203 Mrak, 9 to 10:30)

3. **Dean’s Conversation with Program Coordinators** – Monday Nov 6th, 3 to 4:30, Alumni Center AGR

4. **GSAC Meeting** (Student Support and Banner tips) – Wednesday Nov 15th, 9 to 10:30, 2203 SSH, Andrews Room

5. **GARD Fellowship Process Training** – Thursday, November 16th, 3 to 4, 203 Mrak Hall
Graduate Program Fellowship Allocation

- Graduate programs receive an allocation of funds to award fellowships to new and continuing students
  - Allocation calculation is based on three year, weighted, average enrollment
  - Masters weight = 1, MFA = 1.75, PhD = 2
  - For 2016-17, use 2013-14, 2014-15, 2015-16 actual enrollment at time of Fall Census

- Dean makes additional adjustments based on special circumstances (e.g. new programs)

- Beginning in 2015-16, program metrics data included in allocation calculation
Graduate Program Fellowship Allocation

- Awards may be in the form of stipend (living allowance) fellowship, Tuition & Fees fellowship, or Nonresident Supplemental Tuition fellowship
- “Regulations for Graduate Students Receive Fellowships” does apply
- As of 2014-15, GPFA can be awarded during the summer term (stipends to continuing students only). Summer is the beginning quarter of the fiscal year. Summer stipends for Aug – Oct, 2017, are paid from your 2017-18 allocation.

- Cannot be used to:
  - Bring students to campus for pre- or post-admissions recruitment visits
  - Fund research costs
  - To fund TA or GSR appointments
    - No expectation of research or teaching effort can be tied to a Graduate Program Fellowship.
Student Eligibility – Undocumented Students & California Dream Act

- **AB 540**
  - Not subject to nonresident supplemental tuition
  - Attended CA high school for three, or more, years and graduated
  - Residency Deputy must make determination
  - Can be undocumented (but cannot be on J-1 or F-1 Visa)

- **AB 130**
  - Undocumented, AB540, students qualify for UC gifts and endowments designated for scholarships by the donor.

- **AB 131**
  - Makes undocumented AB 540 students eligible for awards from other institutional aid funds, including tuition and fee revenue.
    - Eligible for GPFA, DGSA, applicable Internal Fellowships as of 01/01/2013.

- **Federal “Deferred Action for Childhood Arrivals (DACA)”**
  - Undocumented persons allowed to apply for a Employment Authorization Document (work permit).
  - If they receive work permit, undocumented students can work as TA or GSR
Student Eligibility – Exception Process

• The Office of Graduate Studies audits the students’ fellowship eligibility – GPA, academic standing, enrolled units, etc.

• If the student does not meet the minimum level of requirements a notice is sent to the Graduate Program with two options:
  
  1. Cancel the awards (as the student is no longer eligible)
  2. Request an exception to continue to pay the awards to the student. The written exception request must include:
     • A brief explanation for the low G.P.A. or Academic Probation status
     • What steps will be taken to reestablish the minimum G.P.A. or change Student Status to “Good Academic Standing”

The Exception Request is reviewed and approved/denied by the Associate Dean of Graduate Studies.
GPFA Methodology: Base Component

- Existing allocation methodology used to allocate same amount of funding as allocated for 2014-15 = $14,787,400.00.
- The goal is that this amount will be locked in as a base that is not adjusted each year for enrollment growth.
- Will be indexed for increases in fees, tuition, nonresident supplemental tuition, and changes in cost of living.
- Will be reviewed every 3 years to ensure that goals of the program are being met.
GPFA Methodology: Program Metric Component

- The goal of introducing metrics in the GPFA allocations is to draw attention to key factors that are relevant to the success of our students and programs.
- Applied to the GPFA increase from 2014-15 to 2015-16 ≈ $1,000,000 ($14,787,400.00 to $15,787,400.00)
- In the future, the allocation amounts to the programs will be adjusted annually using available data
- This use of metrics has been endorsed by the Graduate Council and the recent Task Force on Graduate Education, beginning with those that are readily measured and adding others as data collection efforts become more robust
Graduate Program Fellowship Allocation

Program Metrics

- Metrics for 2018-19 allocation to be announced during Graduate Program Chairs meetings in November
Graduate Program Fellowship Allocation

Program Metrics

• Average First Year Retention Rate
  • Three year average from 2013, 2014, and 2015 Fall Census data
  • Percentage of students in each cohort who enrolled in the second year
  • Includes students who:
    • Graduated before the second year
    • On PELP or Filing Fee
Graduate Program Fellowship Allocation

Program Metrics

• Time to Degree (TTD)
  • Three year average from 2014, 2015, and 2016 Fall Census data
  • At the time of the Fall Census, we count the number students with “Degree Awarded” during the previous twelve month period
  • For each student completing a degree, we calculate the TTD based on the elapsed time between the first term during which they were enrolled in that program/degree course of study and Degree Awarded date. We then calculate an average TTD for each program for these students
Graduate Program Fellowship Allocation

Program Metrics

• Completion Rates in an Expected Timeframe
  • Three year average from 2014, 2015, and 2016 Fall Census data
  • PhD, STEM: for 2014 data we count the number of students from the 2008-09 entry cohort with a Degree Awarded date prior to July 1, 2014 (six years) and divide by the total size of that program’s original entry cohort
  • PhD, humanities and social sciences: for 2014 data we count the number of students from the 2006-07 entry cohort with a Degree Awarded date prior to July 1, 2014 (eight years) and divide by the total size of that program’s original entry cohort
  • Masters: for 2014 data we count the number of students from the 2012-13 entry cohort with a Degree Awarded date prior to July 1, 2014 (two years) and divide by the total size of that program’s original entry cohort
Graduate Program Fellowship Allocation

Program Metrics

• Three Year Advancement to Candidacy Rate
  • Three year average from 2014, 2015, and 2016 Fall Census data
  • For 2014 data we count the number of students from the 2011-12 entry cohort with an Advanced to Candidacy date prior to July 1, 2014 (three years) and divide by the total size of that program’s original entry cohort
  • Based on the Advanced to Candidacy date in banner (SHRDGMR_ADV_CANDIDACY_DATE), therefore, tardy paperwork does impact your metrics
Graduate Program Fellowship Allocation

Program Metrics

• Encourage Diversity on Program Website
  • Race-neutral criterion
  • We audited each program’s main page and any application-related pages (“How to apply”, “For prospective students”, “Admission information”, etc.).
  • Every program is different, so we tried to find a page directed to applicants, with the perspective that if we couldn’t find a diversity statement, a prospective applicant most likely wouldn’t either.
  • We audited for an answer to this question: “Does the program have an explicit statement on the public website which addresses how they encourage or consider a candidate’s potential for leadership around the university’s mission of diversity and equity in higher education as an explicit criterion in the evaluation of graduate applications?”
Graduate Program Fellowship Allocation

Budgeting and Allocation Consistency

• The amount of centrally managed student support funds available to be allocated is currently based on enrollment numbers and Tuition & Fee levels, hence, allocations have steadily risen over the past decade.

• If enrollments in your program have remained steady or have been rising, it is safe to estimate that your student support funds for future years will be the same, or higher, than your current year allocation. Based on this knowledge, we encourage programs to develop multi-year student support budgets with two goals in mind:
  • 1) to increase the number of multi-year offers to recruit top tier students, and
  • 2) to be better able to spend your annual allocations to zero.
Dean’s Graduate Support Allocation (DGSA)

- **Discontinued** and funds rolled into Dean’s Distinguished Graduate Fellowship (DDGF) program

- The DDGF is a multi-year fellowship program funded by Grad Studies, the Provost, and each Dean’s Office.
  - There are several versions of the program and the number of fellowships per Dean’s Office is determined by each of their matching totals.
  - Nominate your prospective students via GARD (just like the discontinued Grad Scholars Fellowship)
Supplemental Grad Program NRST Allocation

- Based on the enrollment numbers of your students who meet the following criteria:
  - Citizenship = Nonresident Alien
  - Degree Objective = PhD or MFA
  - Enrollment = Pre-candidacy and in their second or third year (4th through 9th quarters)

- The funding may be used for two purposes:
  - **Graduate Fellowships**: Stipend (living allowance) fellowship, Tuition & Fees, and/or NRST fellowship via banner
    - Not required to pay these funds to the students included in the enrollment allocation calculation
  - **Graduate Instruction**: To buy-out a regular lecturer or to hire a faculty member to teach a course.
    - This option is primarily intended to assist graduate groups with funding core courses.
Supplemental Grad Program NRST Allocation

- Distributed in two ways:
  - **GSR Remission Buy-down Program**: For the students in your program who meet the criteria, the GSR Buy-down program has been expanded to cover 100% of their assessed NRST remission (up from 25%).
    - The buy-down program does not require any action by departmental or graduate program staff, as the rebate is automatic and posts to the eligible GSR fund source in the same fiscal period as the remission expense.
  - **Supplemental Grad Program NRST Allocation**: For the students in your program who meet the criteria, this allocation is calculated as follows: “Supplemental Grad Program NRST Allocation” = “Total amount of NRST assessed in a three quarter period” minus “Total NRST remission rebated via the buy-down program, for those same students, in the same three quarter period”.

## Supplemental Grad Program NRST Allocation

**ADMITTED FALL 2014**

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<th>Quarter Count</th>
<th>Year Count</th>
<th>Term Code</th>
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<th>Quarter</th>
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GSR Remission Buy-Down Program

• As part of our continuing effort to improve graduate student support at UC Davis, the Provost allocates funds to partially buy-down fees and tuition for GSRs supported on extramural sources. Under the GSR Buy-Down Program, the campus pays 25% of the tuition, fees and NRST for a GSR appointment paid by certain extramural funds; the balance is charged to the extramural contract or grant.
  • NRST Remission charged to object 8970 and the 25% buy-down rebate is object 897R

• Effective fall 2014, the Buy-Down Program was expanded: 100% of the NRST for pre-candidacy, 2nd & 3rd year international PhD and MFA students who are supported by an extramural GSR appointment will be paid by central funds.
  • NRST Remission for 2\textsuperscript{nd} & 3\textsuperscript{rd} year int’l PhD & MFA students charged to object 8972 and the 100% buy-down rebate is object 897N
GSR Buy-down

Which account sub-fund types are included in the buy-down?

- C - Federal Contracts
- F - Federal Grants
- J - Private Contracts
- L - Local Government
- N - State Government Federal Flow Through (FFT)
- P - Private Grants
- S - State Government
- V - Local Government FFT
- W - Private Grants FFT
- X - Private Contracts FFT
Masters NRST Allocation Program

- New for 2015-16, the new budget model distributes 50% of the incremental growth in NRST revenue generated by Master’s Degree students to Graduate Studies to be allocated to the graduate programs.
- The total amount allocated in 2017-18 is $210,611. The distribution by graduate programs based on increased enrollment of national (non-CA resident) and international master’s students from Fall 2013 to Fall 2016.
  - Programs that had decreased enrollment were excluded.
- The funding may be used to pay stipend fellowships (living allowance), Tuition & Fees fellowship, and/or NRST fellowship for new students, continuing students, US Citizens, Permanent Residents, and Nonresident Alien students.
  - Please note, while this allocation is based on the enrollment numbers of Masters students who generated NRST revenue, these funds do not need to be paid to the exact students who were included in the enrollment calculation.
Post-Candidacy NRST Fellowship Program

- UC policy is that nonresident doctoral students do not pay NRST for a period of three years after they advance to candidacy.
- Doctoral students who remain in candidacy beyond the three-year waiver period will be eligible to receive a non-competitive NRST fellowship for two years.
  - **+$800,000 annually**
  - The NRST fellowship must be applied for each year.
  - Via email, an application will be sent to all eligible students.
- Typical funding timeline: 2-3 years prior to candidacy with NRST paid from a grant (if they are a GSR), the 3-year waiver period, and up to 2 years of post-candidacy NRST fellowship.
  - GSRs are eligible for NRST remission as a benefit of employment only prior to advancing to candidacy.
  - Students who are beyond this time will be eligible to receive NRST fellowships, but not NRST remission (no longer a mandatory benefit).
NRST funding programs

• Overall purpose of these programs is two-fold:
  • Level the playing field between US and international PhD/MFA students. This is a direct result of faculty/student input.
  • Add transparency to the allocation process.

• Yes, allocations are based on enrollment numbers. However, the use of the funds does not need to be tied to the specific students who generated the revenue, because:
  • You receive the funds a year, or more, later.
  • Decentralized fellowship allocations should be aggregated to use for your program’s individual funding priorities/needs.

• Difficult to predict your allocation in advance:
  • For the PhD/MFA Supplemental NRST Allocation, to determine your allocation for 2018-19 you would need to know your number of 2nd & 3rd year NRA PhD/MFA students and how many have fall GSR appointments.
  • For Masters NRST revenue sharing, the percentage your receive of the “Total increase in NRST revenue” is dependent on all of the other eligible programs’ increases.
Graduate Program Fellowship Allocation

Carry-forward Policy for 2017-18 to 2018-19

• This policy applies to the following fellowship programs:
  • Graduate Program Fellowship Allocation (GPFA)
  • Dean’s Graduate Support Allocation (funds provided by Graduate Studies) (DGSA)
  • Supplemental Graduate Program NRST Allocation (SGPNA)
  • Masters NRST Allocation

• For 2017-18, programs will be permitted to carry forward 5% of the allocations under each of these programs without requesting permission.

• Should your program wish to carry forward funds in excess of 5% from any of the above programs for your use in 2018-18, please email an exception request with justification to Steven Albrecht.
  • Carry-forward exception requests must be submitted by May 15, 2018.
  • A reminder (with current account balance information) will be sent to all programs in the spring.
Graduate Program Fellowship Allocation
Notification of Awards

- **Award letter best practices and attachments:**

- **Offer in writing**
  - Acknowledge named endowments
  - Grad Program Fellowship Allocation Summary:
    - UC Davis Graduate Program Fellowship Allocation $ amount 7920095
    - **R. Pardow Hooper Fellowship** $ amount 7947144
  - List academic appointments separately
  - Attachments to offer letter:
    - Regulations
    - CGS Resolution
  - All subsequent changes must be in writing
  - Recheck Student Eligibility at award time
Graduate Program Fellowship Allocation

- **Grad Track$ Web Reports**
  - [https://apps.gradstudies.ucdavis.edu/gradtracks/](https://apps.gradstudies.ucdavis.edu/gradtracks/)
  - Use to monitor account balances
    - Does not include NRSTR diversion expenses!
  - Look up accounts to see if a fund code request is necessary
  - Zero small balances before June 1st

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<th>Major</th>
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Graduate Program Fellowship Allocation Funds

- IMPORTANT DATES TO REMEMBER
  - March 2\textsuperscript{nd} = Priority Deadline for students to file a FAFSA.
  - April 15\textsuperscript{th} = Programs cannot require students to respond to fellowship offers prior to April 15\textsuperscript{th} (CGS Resolution).
  - Third week of September = It is strongly suggested that all GPFA awards be entered into banner before fall Tuition & Fees are due.
  - May 15\textsuperscript{th} = Exception requests for carry-forward due.
  - June 1\textsuperscript{st} = final day to post Grad Program Fellowships in banner.
Types of Funding

• How to fund your Graduate Education?

• Fellowships
  • Miscellaneous

• Academic Appointments

• Student Loans

• Personal Resources
Travel Awards

- Offered twice/year in Oct (for travel from July - June) & March (for travel from January - December)
  - Nominations due to OGS November 15th and April 15th
  - Awards announced the week of Dec 15th and May 15th
- Students may only win once
- Award amount varies by destination ($500 for CA, $1,000 CONUS, or $1,500 OCONUS)
- $25,000 awarded twice per year
- Two nominations allowed per Grad Program –
  - Please inform those not nominated that they didn’t win!
- Application form and instructions here: [https://grad.ucdavis.edu/current-students/financial-support/internal-fellowships/travel-awards](https://grad.ucdavis.edu/current-students/financial-support/internal-fellowships/travel-awards)
- Different from the GSA Travel Award - [https://gsa.ucdavis.edu/funding/travel-awards/](https://gsa.ucdavis.edu/funding/travel-awards/)
Summer GSR Awards

- 100% GSR support for Engineering or Computer-related Applications and Methods
  - Three months (July, Aug, Sept) of support at Step 1
  - Awarded through PPS not GT$
- Programs must commit to two quarters of equal support during next academic year
- Dates
  - Nominations to OGS by May 1, 2016
  - Awards announced week of June 1, 2016
- Summer GSR Award Nomination Form
Miscellaneous Funding

UC Davis Student Parent Child Care Funding Program

Student Parent Child Care Funding has two sources:
1. Community Based Care Grant (CBCG): (for undergraduate, graduate and professional students)
2. Graduate Student Child Care Grant (GSCCG): (for graduate and professional students only)
   - Provides $600 to $1,300 per quarter for child care expense

Dean Witter Fund

A limited amount of money is available through the Dean Witter Fund to help offset the cost of room rental and food & beverage expenses for events intended to promote faculty/student academic interaction in informal settings

- Graduate students or faculty may act as a sponsor and apply for Dean Witter funds
- The sponsor may be reimbursed for up to $10 per individual with a maximum of $100 per event.
- Apply here: https://grad.ucdavis.edu/financial-support/internal-fellowships/dean-witter-fund
Matching Commitments for External Fellowships

- The Office of Graduate Studies, in partnership with the graduate programs, provides matching commitments to encourage students to compete successfully for external awards.
- Matching commitments refer to the use of campus funds to supplement graduate student support that is provided by an external sponsor. With increasing frequency, external agencies are limiting the amounts of the Tuition & Fee payments that are provided. Thus the primary purpose of the Graduate Studies matching commitment policy is to address this shortfall.
- Matching commitments are usually arranged by graduate program staff contacting the Office of Graduate Studies on the student’s behalf. Students should notify their programs when they receive an external award so the faculty and staff can work with Graduate Studies to furnish the student with an appropriate level of funding.
Graduate Student Support Allocation
Information/Tools

- **Allocation emails/letters**
  - GPFA from OGS in January (activated in GT$ in July)
  - Work Study unit allocations in April

- **Summary worksheets emailed by OGS**
  - Final Balances from previous academic year and Beginning Balances for current academic year
  - Includes Grad Program Fellowship, Supplemental NRST Programs, and carry-forward

- **Grad Track$ Web Reporting Tool** ([https://grad.ucdavis.edu/faculty-staff/student-financial-support/online-applications-systems](https://grad.ucdavis.edu/faculty-staff/student-financial-support/online-applications-systems))
  - Account summary and award detail available 24/7
  - Official system for establishing annual (current) student support budget
  - Official system to monitor and evaluate student support financial transactions

- **Nonresident Supplemental Tuition Diversion Forms**
  - Programs must manually deduct NRSTR diversions from allocation balances
  - Grad Studies deducts from GradTrack$ web report balances quarterly
Graduate Studies Website

• Faculty & Staff
  • Forms
  • Student Financial Support
  • Academic Personnel

• Current Students
  • Financial Support
  • Employment
  • External Fellowship Resources

• GradHub
  • New Financial Information
Questions?

Thank You!